



Due Date: None

Art Unit:

Examiner: Ponnaluri, P.

Application No.: 10/052,942 Docket: 1821.0090004

Atty: EKS/EJH/TAC

1627

For: Methods of Producing or Identifying Intrabodies in Eukaryotic Cells

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF cover letter;

Applicants:

Filed:

2! Information Disclosure Statement (IDS);

3. Form PTO-1449 listing 117 documents (22 pages);

Zauderer et al.

January 23, 2002

Copies of the 117 cited documents as listed on Form PTO-1449 (AA1-AK1; AA2-AK2; AA3-AJ3; ALT-AP2; AL3-AP3; AL4-AP4; AL5; AR1-AT1; AR2-AT2; AR3-AT3; AR4-AT4; AR5-AT5; AR6-AT6; AR7-AT7; AR8-AT8; AR9-AT9; AR10-AT10; AR11-AT11; AR12-AT12; AR13-AT13; AR14-AT14; AR15-AT5; AT16; AR17-AT17; AR18-AT18; AR19-AT19; AR20-AT20; AR21-AT21; and AR22); and

One (1) return postcard.

Hand Carry to Examiner Padmashri Ponnaluri Crystal Mall 1, Group 1627, 7th Floor Reception Examiner Ponnaluri's Phone No.: (703)305-3884

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SKGF_DC1:39623.1

Zauderer et al.

10/052,942 Application No.:

Methods of Producing January 23, 2002 Filed:

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nformation Disclosure Statement (IDS) SKGF cover letter;





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Zauderer et al.

Appl. No. 10/052,942

Filed: January 23, 2002

For:

Methods of Producing or Identifying Intrabodies in

Eukaryotic Cells

Confirmation No. 1028

Art Unit: 1627

Examiner: Ponnaluri, P.

Atty. Docket:1821.0090004/EKS/EJH/TAC

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

The Examiner is referred to the following list of related, co-pending patent applications:

U.S. Patent Application No. 08/935,377, filed September 22, 1997, inventor Zauderer, M., is listed on the accompanying PTO-1449 forms as document AR20.

- U.S. Provisional Patent Application No. 60/203,343, filed May 10, 2000, inventor Zauderer, M., et al., is listed on the accompanying PTO-1449 forms as document AS20.
- U.S. Patent Application No. 09/818,991, filed March 28, 2001, inventor Zauderer, M., is listed on the accompanying PTO-1449 forms as document AT20.
- U.S. Patent Application No. 09/822,250, filed April 2, 2001, inventor Zauderer, M., is listed on the accompanying PTO-1449 forms as document AR21.
- U.S. Patent Application No. 09/987,456, filed November 14, 2001, inventor Zauderer, M., is listed on the accompanying PTO-1449 forms as document AS21.
- U.S. Patent Application No. 10/034,250, filed January 3, 2002, inventor Zauderer, M., is listed on the accompanying PTO-1449 forms as document AT21.
- U.S. Patent Application No. 10/061,395, filed February 4, 2002, inventor Zauderer, M., et al., is listed on the accompanying PTO-1449 forms as document AR22.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed after three months of the date of filing, but before the mailing date of a first Office Action on the merits or before the

mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Elizabeth J. Haanes, Ph.D.

Attorney for Applicants

Registration No. 42,613

Date: <u>August</u> 8, 200

1100 New York Avenue, N.W.

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(202) 371-2600

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Page 1 of 22 ATTY. DOCKET NO. APPLICATION NO. 1821.0090004 10/052,942 JUL 2 1 2005 13 FORM PTO-1449 APPLICANT Zauderer et al. TION DISCLOSURE STATEMENT FILING DATE GROUP January 23, 2002 1627 " U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT DATE NAME CLASS SUB-FILING DATE NUMBER CLASS AA1 4,603,112 07/29/1986 Paoletti, E. et al. 435 235 12/08/1982 AB1 4,656,134 04/07/1987 Ringold, G.M. 435 91 04/12/1985 AC1 01/24/1989 Mullis, K.B. et al. 4,800,159 435 172.3 12/17/1986 AD1 4,816,397 03/28/1989 Boss, M.A. et al. 435 68 03/23/1984 AE1 08/29/1989 4,861,581 Epstein et al. 424 1.1 12/05/1986 AF1 4,965,188 10/23/1990 Mullis, K.B. et al. 435 6 06/17/1987 Paoletti, E. et al. AG1 5,110,587 05/05/1992 424 89 06/14/1990 AH1 5,445,953 08/29/1995 Dorner, F. et al. 435 172.3 08/26/1991 AI1 5,576,195 11/19/1996 Robinson, R.R. et al. 435 69.8 12/09/1994 AJ1 5,693,493 12/02/1997 Robinson, R.R. et al. 435 69.1 05/25/1995 AK1 5,770,212 06/23/1998 Falkner, F-G. et al. 199.1 424 02/21/1997 FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT DATE COUNTRY CLASS SUB-TRANSLATION NUMBER CLASS EP 0120694 A2 10/03/1984 Europe Yes No EP 0120694 B1 10/03/1984 Europe Yes AM1 No GB 2137631 A 10/10/1984 Great Britain Yes AN1 No WO 86/01533 A1 03/13/1986 WIPO Yes A01 No EP 0200362 A2 12/10/1986 Europe Yes OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Anderson, W.F., "Human Gene Therapy," Science 256:808-813, American Association AR 1 for the Advancement of Science (1992) Barbas III, C.F., et al., "Assembly of combinatorial antibody libraries on phage surfaces: The gene III site," Proc. Natl. Acad. Sci. USA 88:7978-7982, National AS 1 Academy Press (1991) Belyavsky, A., et al., "PCR-based cDNA library construction: general cDNA AT 1 libraries at the level of a few cells," Nucleic Acids Res. 17:2919-2932, Oxford University Press (1989) EXAMINER

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<u></u>		AC2	5,9	19,650	07/06/1999	Barbacid et al.	43	5	69.1	04/22/1990
<u> </u>		AD2	5,9	58,671	09/28/1999	Glimcher et al.	43	5	4	04/23/1996
	4	AE2	5,9	65,371	10/12/1999	Marasco et al.	43	5	7	05/09/1995
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Due Date: n/a

Art Unit: 1639

Examiner: Ponnaluri, P.

Docket: 1821.0090004

EKS/EJH/T-M Atty:

Methods of Producing or Identifying Intrabodies in Eukaryotic Cells

Due Date:

Art Unit: 1639

Examiner: Ponnaluri, P.

Docket:

1821.0090004 EKS/EJH/T-M

For: Methods of Producing or Identifying Intrabodies in Eukaryotic Cells When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

Application No.:

Second Supplemental Information Disclosure Statement;

Zauderer et al.

10/052,942

Zauderer et al.

January 23, 2002

10/052,942

January 23, 2002

Two pages of Form PTO-1449 citing five documents (AK3, AN5, AS22, AT22, and AR23); A copy of each of the five cited documents; and

One (1) return postcard.

Applicants:

Filed:

Application No.:

Applicants:

Filed:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Zauderer et al.

Appl. No. 10/052,942

Filed: January 23, 2002

For:

Methods of Producing or

Identifying Intrabodies in

Eukaryotic Cells

Confirmation No. 1028

Art Unit:

1639

Examiner:

Ponnaluri, P.

Atty. Docket: 1821.0090004/EJH/T-M

Second Supplemental Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed on November 6. 2002, in connection with the above-captioned application. A copy of each document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Elizabeth J. Haanes, Ph.D.

Attorney for Applicants Registration No. 42,613

Date:

1100 New York Avenue, N.W.

Suite 600

Washington, D.C. 20005-3934

(202) 371-2600

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Page 2 of 2 ATTY. DOCKET NO. APPLICATION NO. 1821.0090004/EJH/T-M 10/052,942 FORM PTO-1449 APPLICANT Zauderer et al. ECOND SUPPLEMENTAL
TION DISCLOSURE STATEMENT FILING DATE GROUP January 23, 2002 1639 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE NAMB CLASS SUB-CLASS FILING DATE AA AB AC AD ΑE AF AG на ΑI AJ AK FOREIGN PATENT DOCUMENTS **EXAMINER** DOCUMENT NUMBER INITIAL DATE COUNTRY CLASS SUB-CLASS TRANSLATION Yes AL No Yes AΜ No Yes No Yes AO No Yes AP No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Waterhouse, P., et al., "Combinatorial infection and in vivo recombination: a strategy for making large phage antibody repertoires," Nucl. Acids Res. AR 23 21:2265-2266, Oxford University Press (1993) AS AT EXAMINER DATE CONSIDERED, Initial if reference considered, whether or not citation is in conformance with MPEP 609. EXAMINER:

Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to Applicant.

Application No.:

Applicants: Zauderer et al. Due Date: Art Unit: 1639

Examiner:

Ponnaluri, P.

10/052,942

Docket:

1821.0090004

Filed:

EKS/EJH/T-M

January 23, 2002

Atty:

Methods of Producing or Identifying Intrabodies in Eukaryotic Cells For:

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents: 1.

SKGF Cover Letter;

2. Third Supplemental Information Disclosure Statement;

3. One page of Form PTO-1449 citing two documents (AS23 and AT23);

A copy of each of the cited documents; and 4.

5. One (1) return postcard. FILE COPY

Please Date Stamp And Return To Our Courier

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Applicants:

Zauderer et al.

Due Date:

Application No.:

Art Unit:

1639

10/052,942

Examiner:

Ponnaluri, P.

Filed: January 23, 2002

Docket:

1821.0090004

For:

EKS/EJH/T-M

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Methods of Producing or Identifying Intrabodies in Eukaryotic Cells When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

2.

Third Supplemental Information Disclosure Statement; 3.

One page of Form PTO-1449 citing two documents (AS23 and AT23); A copy of each of the cited documents; and

5. ne (1) return postcard.

Please Date Stamp And Return To Our Courier

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ZAUDERER et al.

Appl. No. 10/052,942

Filed: January 23, 2002

For: Methods of Producing or

Identifying Intrabodies in

Eukaryotic Cells

Confirmation No. 1028

Art Unit: 1639

Examiner: Ponnaluri, P.

Atty. Docket:

1821.0090004/EKS/EJH/T-M

Third Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed on July 1, 2003, in connection with the above-captioned application. A copy of each document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not

be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Third Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.¹

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Elizabeth J. Haanes, Ph.D.

Attorney for Applicants

Registration No. 42,613

1100 New York Avenue, N.W.

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(200) 251 2500

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¹Please note that, due to inclement weather associated with Hurricane Isabel, the United States Patent and Trademark Office was closed on September 18 and 19, 2003.

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